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PTO/SB/021 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | | | |
|--|--|------------------------|------------------|
| | | Application Number | 09/767,191 |
| | | Filing Date | January 22, 2001 |
| | | First Named Inventor | Meng-Hsi Chiu |
| | | Group Art Unit | 2661 |
| | | Examiner Name | Not yet Assigned |
| Total Number of Pages in This Submission | | Attorney Docket Number | 1975-00300 |

ENCLOSURES (check all that apply)

| | | |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment <i>(for an application)</i> | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney; Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | <i>1. Request for Withdrawal as Attorney 2 Acknowledgement Post Card</i> |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |
| | Remarks | |

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Technology Center 2600

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | |
|-------------------------------|-------------------|--|
| Firm or Individual Name | SHANNON W. BATES | |
| Signature | | |
| Date | November 27, 2002 | |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: November 27, 2002

| | | | |
|-----------------------|------------------|------|-------------------|
| Typed or Printed Name | Laura H. Ehrlich | | |
| Signature | | Date | November 27, 2002 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D.C. 20231.

DEC 03 2002

U.S. TRADEMARK OFFICE

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$

Complete if Known

| | |
|----------------------|------------------|
| Application Number | 09/767,191 |
| Filing Date | January 22, 2001 |
| First Named Inventor | Meng-Hsi Chiu |
| Examiner Name | Not Yet Assigned |
| Group Art Unit | 2661 |
| Attorney Docket No. | 1975-00300 |

METHOD OF PAYMENT

- The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:
Deposit Account Number: 03-2769
Deposit Account Name: Conley, Rose & Tayon, P.C.
 Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status.
See 37 CFR 1.27
- Payment Enclosed:
 Check Credit Card Money Order Other Order

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------------|----------------------------------|-----------------|--|
| 105 130 | 205 | 65 | Surcharge - late filing fee or oath |
| 127 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet |
| 139 130 | 139 | 130 | Non-English specification |
| 147 2,520 | 147 | 2,520 | For filing a request for <i>ex parte</i> reexamination |
| 112 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action |
| 113 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action |
| 115 110 | 215 | 55 | Extension for reply within first month |
| 116 390 | 216 | 195 | Extension for reply within second month |
| 117 890 | 217 | 445 | Extension for reply within third month |
| 118 1,390 | 218 | 695 | Extension for reply within fourth month |
| 128 1,890 | 228 | 945 | Extension for reply within fifth month |
| 119 310 | 219 | 155 | Notice of Appeal |
| 120 310 | 220 | 155 | Filing a brief in support of an appeal |
| 121 270 | 221 | 135 | Request for oral hearing |
| 138 1,510 | 138 | 1,510 | Petition to institute a public use proceeding |
| 140 110 | 240 | 55 | Petition to revive - unavoidable |
| 141 1,240 | 241 | 620 | Petition to revive - unintentional |
| 142 1,240 | 242 | 620 | Utility issue fee (or reissue) |
| 143 440 | 243 | 220 | Design issue fee |
| 144 600 | 244 | 300 | Plant issue fee |
| 122 130 | 122 | 130 | Petitions to the Commissioner |
| 123 50 | 123 | 50 | Petitions related to provisional applications |
| 126 180 | 126 | 180 | Submission of Information Disclosure Stmt |
| 581 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) |
| 146 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) |
| 149 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) |
| 179 710 | 279 | 355 | Request for Continued Examination (RCE) |
| 169 900 | 169 | 900 | Request for expedited examination of a design application |

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$

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FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------------|----------------------------------|-----------------|------------------------|
| 101 710 | 201 | 355 | Utility filing Fee |
| 106 320 | 206 | 160 | Design filing fee |
| 107 490 | 207 | 245 | Plant filing fee |
| 108 710 | 208 | 355 | Reissue filing fee |
| 114 150 | 214 | 75 | Provisional filing fee |

SUBTOTAL (1) \$

2. EXTRA CLAIM FEES

| | | Fee from below | Fee Paid |
|--------------------|--------|-------------------|-------------------|
| Total Claims | - 20** | = 0 | x 18.00 = \$ 0.00 |
| Independent | - 3** | = 2 | x 80.00 = \$ 0.00 |
| Claims | | | |
| Multiple Dependent | | 270.00 | = \$ 0.00 |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------------|----------------------------------|-----------------|
| 103 18 | 203 | 9 |
| 102 80 | 202 | 40 |
| 104 270 | 204 | 135 |
| 109 80 | 209 | 40 |

Claims in excess of 20
Independent Claims in excess of 3
Multiple dependent claim, if not paid
** Reissue independent claims
over original patent

SUBTOTAL (2) \$

*** or number previously paid, if greater; For Reissues, see above*

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|-----------------------------|--------------------------------------|--------|-----------|-------------------|
| Name (Print/Type) | Shannon W. Bates | Registration No. (Attorney/Agent) | 47,412 | Telephone | (713) 238-8000 |
| Signature | <i>Shannon Warren Bates</i> | | | Date | November 27, 2001 |

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